



## IN THE UNITED STATES PATENT AND TRADEMARK

Mail Stop New Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney's Docket No.: 18239-117D  
Divisional Application of  
US Pat. App. Ser. No. 09/975,117  
filed October 10, 2001  
Class 359/Subclass 138

### DIVISIONAL PATENT APPLICATION FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of: Wei YANG and Li CHEN

For: **INTEGRATED OPTICAL COMPONENTS FABRICATED USING  
ULTRAVIOLET LASER TECHNIQUES**

17363 U.S. PTO  
10/609205  
06/27/03

#### ENCLOSURES

- 21 page application including specification, claims and abstract;
- 8 sheets (Figs. 1A – 8) of  informal/ formal drawings;
- Declaration, Power of Attorney & Petition ( signed/ unsigned);
- Postcard for return to us as proof of receipt of the referenced documents.  
**and**
- Assignment of the invention with a recordation form cover sheet;
- Applicant claims small entity status (Under 37 CFR 1.27);
- IDS Statement with Form PTO-1449 as submitted with parent application;
- Request to Not Publish and Certification Under 35 USC 122(b)(2)(B)(i).
- Other: 4 Page Preliminary Amendment

#### TYPE OF FILING

- This application is a divisional of U.S. Patent Application Serial No. 09/975,117, filed October 10, 2001 (35 USC 120).
- This application claims the benefit of the priority date of an earlier filed U.S. Provisional Patent Application Serial 60/274,412, filed March 9, 2001 (35 USC 119).
- This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

#### CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL946241603US addressed to Mail Stop New Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 27, 2003 by Yvette Yturralde-Owen.

Date: June 27, 2003

Yvette Yturralde-Owen

## FEE CALCULATION

The filing fee has been calculated as shown below:

				SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
				RATE	\$0	RATE	FEE
BASIC FEE Design Patent				\$165	\$0	\$330	\$0
BASIC FEE Utility Patent				\$375	\$375	\$750	\$0
EXTRA FEES							
Total claims	20	minus 20 =	0	x9 =	\$0	x18 =	\$0
Independent Claims	3	minus 3 =	0	x42 =	\$0	x84 =	\$0
<input type="checkbox"/> Multiple Dep. Claim				+140 =	\$40	+280 =	\$0
<input checked="" type="checkbox"/> Assignment				+40 =	\$0	+40 =	\$0
<input type="checkbox"/> Rule 53 Surcharge				+65 =	\$0	+130 =	\$0
<b>TOTAL</b>					<b>\$415</b>		

## FEE PAYMENT

- Attached is Check No. \_\_\_\_\_ in the sum of \$0.<sup>00</sup> for the cost of the \_\_\_\_\_  
 Please charge Account No. 04-0475 the sum of \$415.00

## FEE DEFICIENCY

- The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 04-0475:  
 Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.  
 Assignment Recordal fees.
- The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 04-0475.
- A duplicate copy of this sheet is enclosed.

Dated: June 27, 2003

Respectfully submitted,

  
Justin Boyce  
Reg. No. 40,920

DECHERT LLP  
Customer No. 37509